



Rubber and Plastics Formulations for Food Contact

Dr. Mike Zumbrum, Maztech, Inc.

Dr. Roger Avakian, PolyOne Corp.



Outline

- Introduction: Polymer Parameters
- Example Formulations
- Impact of Additives
- Optimizing Formulations
- Applications
- Conclusions
- Acknowledgements



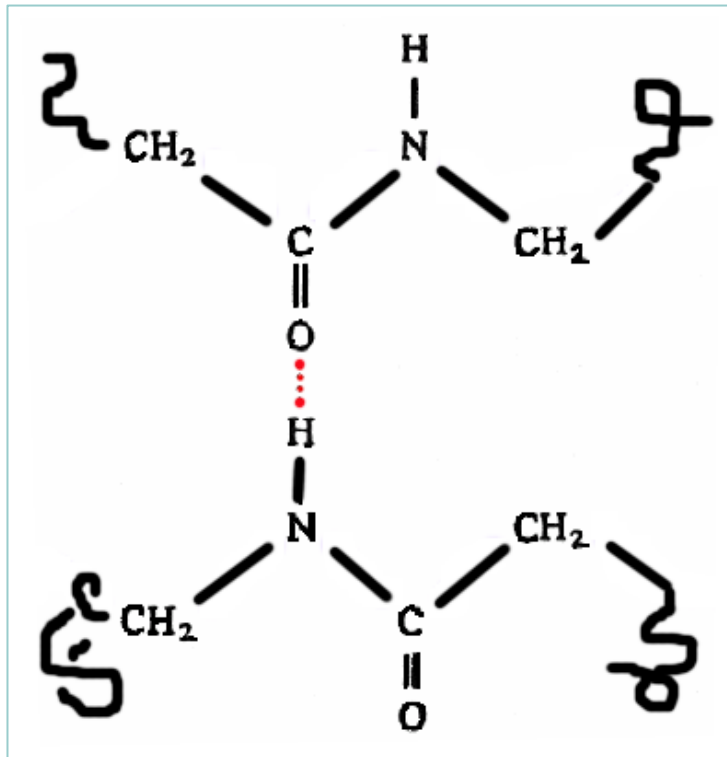
Polymer Parameters

- Chemical Composition
- Molecular Weight & Distribution
- Stereochemistry
- Topology
- Morphology
- Additives

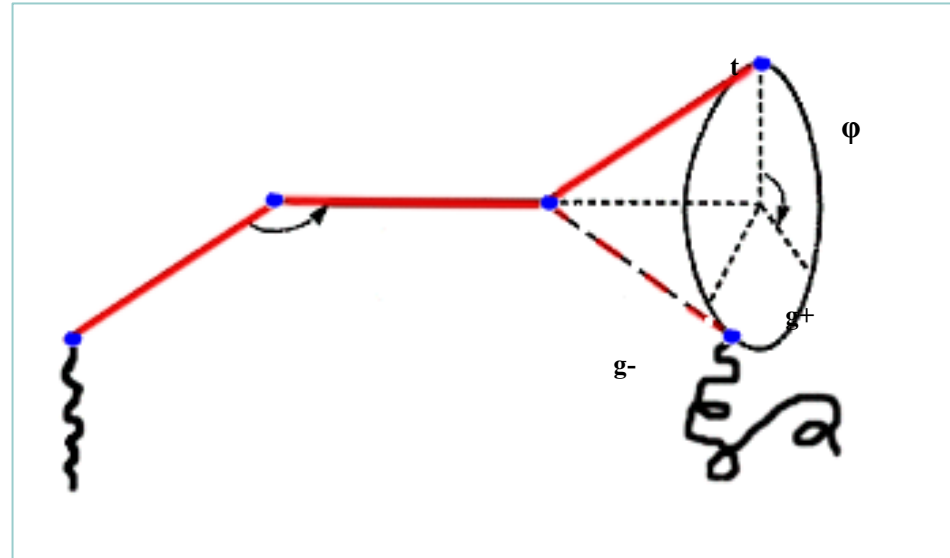
Important Energies to Consider

Intermolecular

Intramolecular



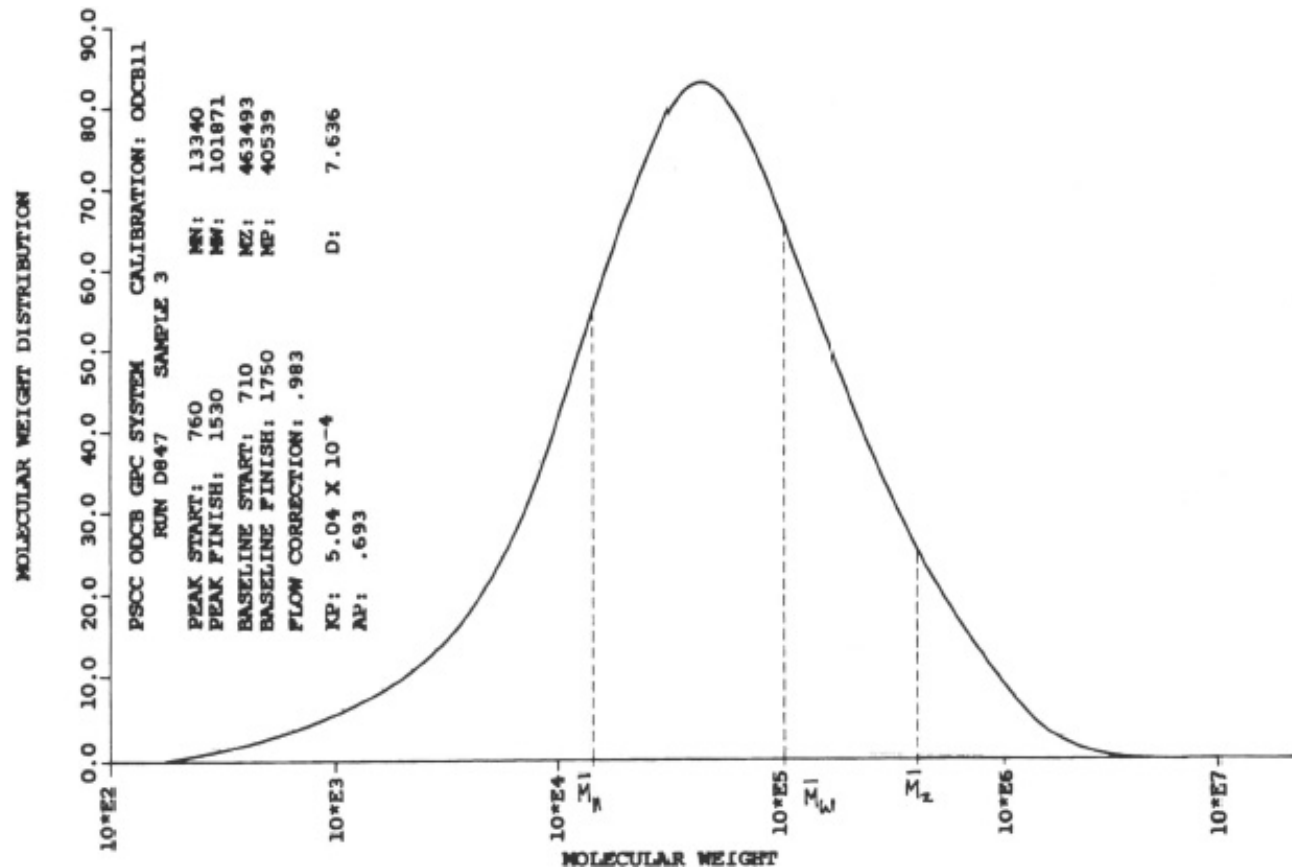
Hydrogen Bonding in Polyamides



Chain Stiffness and Bond Rotation

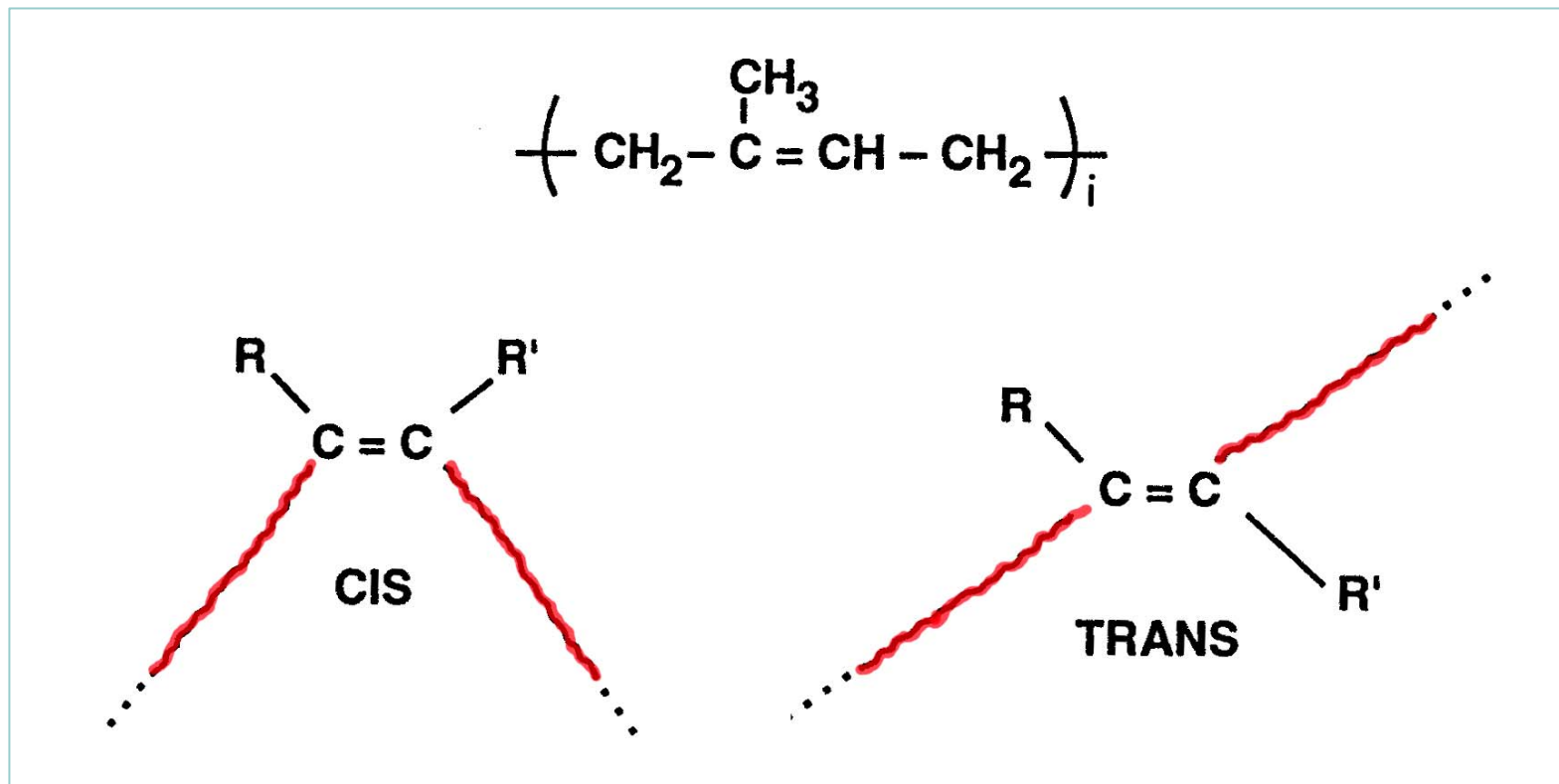
Homopolymer	~~AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA~~
Random Copolymer	~~AABABBBABBABABBBABAABBBBBB~~
Block Copolymer	~~AAAAAAA~~AAAAABBBBBB~~~BBBBBBBBBB
Graft Copolymer	~~AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA~~ BBBBBB~~ BBBBBBBBBB~~
Terpolymer	Example ABS plastic

Molecular Weight Distribution



Effects of Stereochemistry:

Geometric Isomers of Polyisoprene

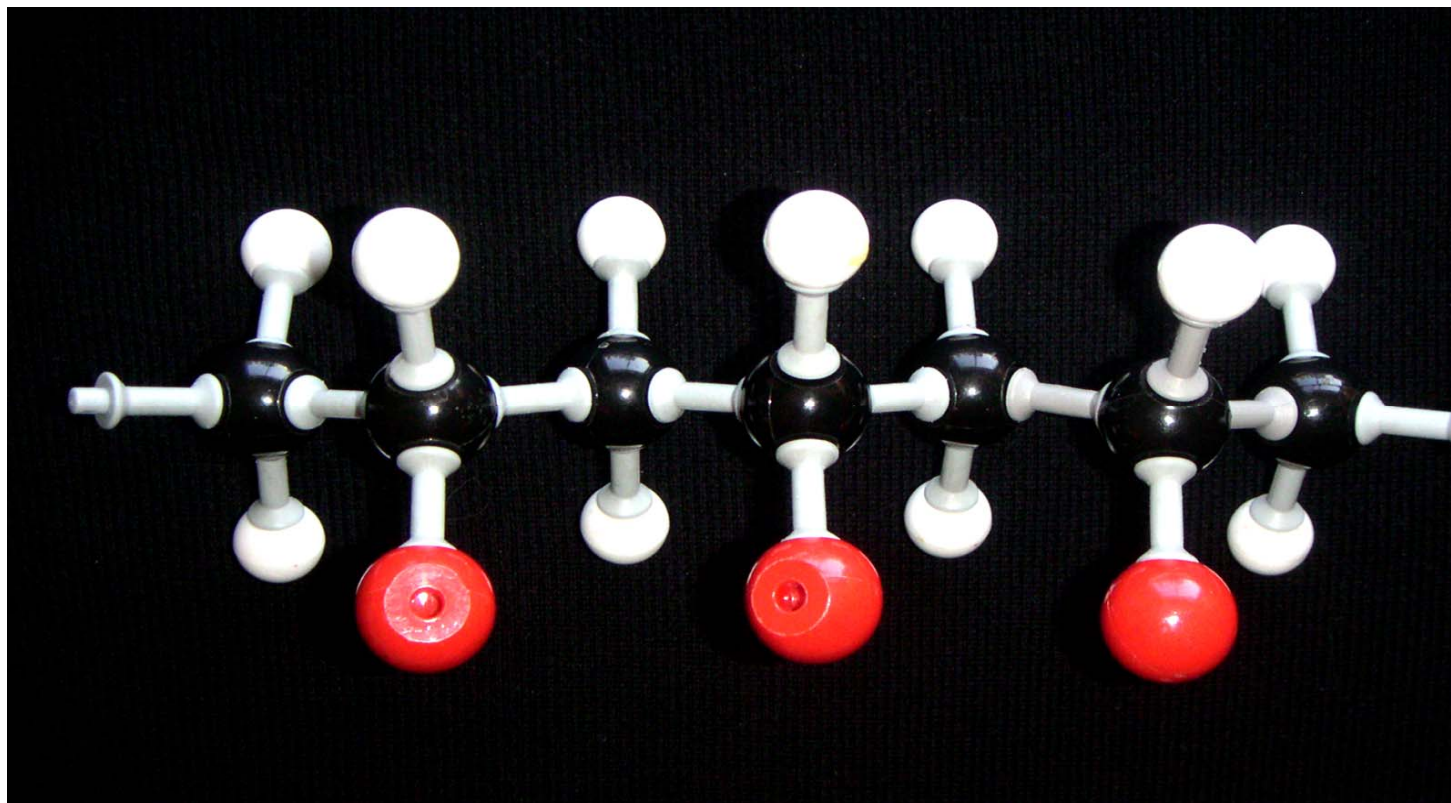


Trans-Polyisoprene



Additional Stereochemistry:

Tacticity of Polymers



Effect of Tacticity on Glass Transition Temperature (T_g) of Polyacrylates

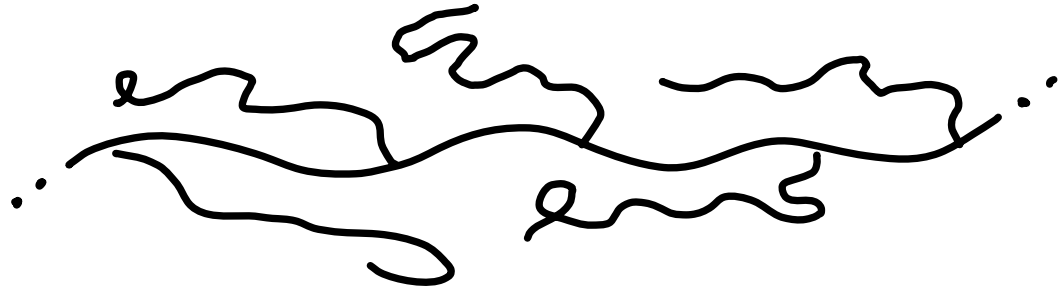
Polymer	T_g s (°C)	
	Syndiotactic	Isotactic
Methyl	160	43
Ethyl	120	8
Isopropyl	139	27
Butyl	88	-24
Isobutyl	120	8
Cyclohexyl	163	51

Topology of Polyethylene

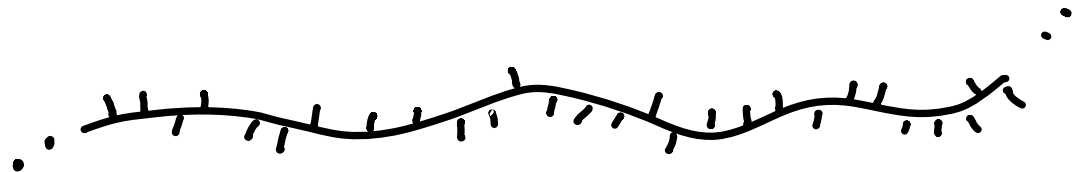
HDPE



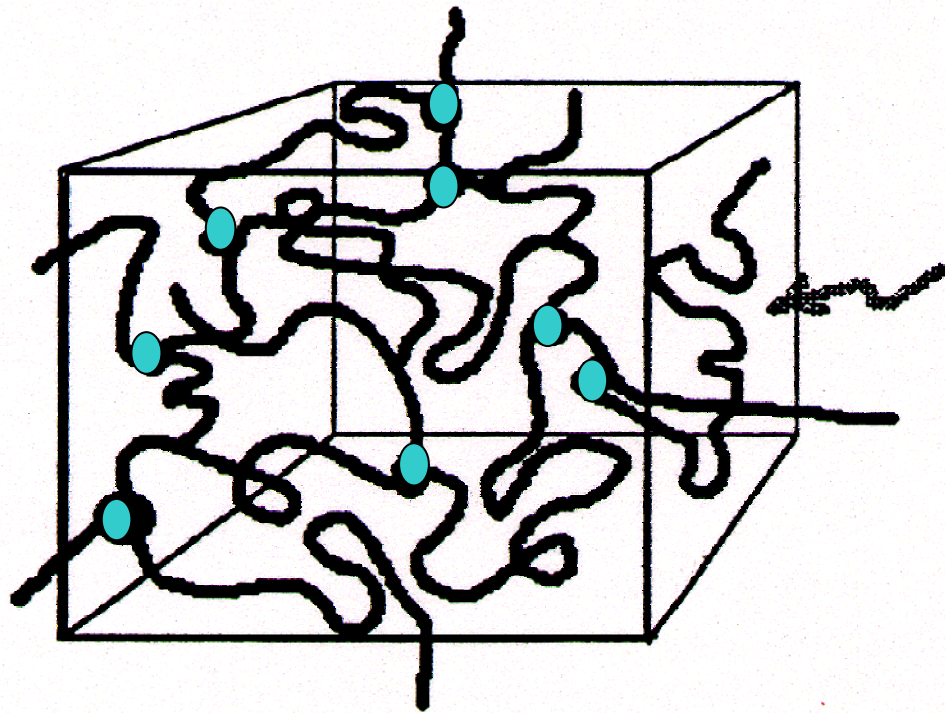
LDPE



LLDPE



Thermoset Network Topology



**CROSSLINK
DENSITY**

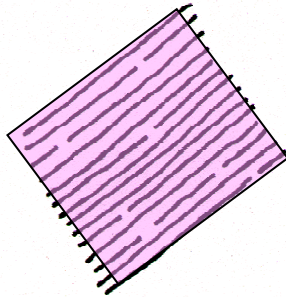
*** INSOLUBLE**

*** INFUSIBLE**

*** PRIMARY
BONDS**

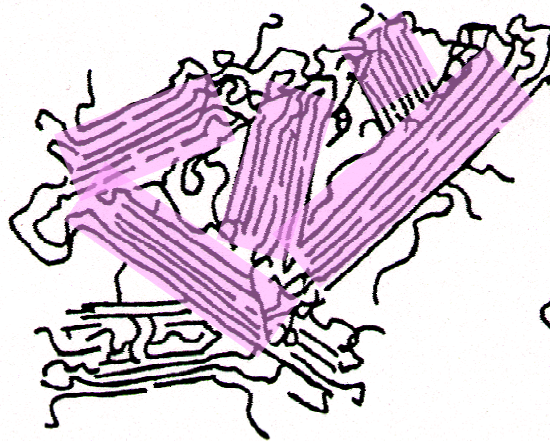
Morphology of Polymers

Crystalline

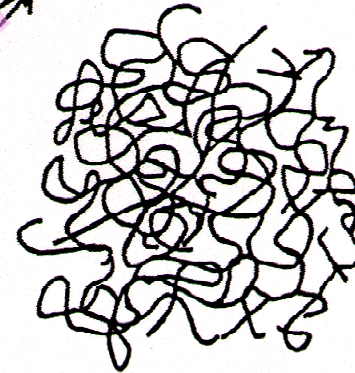


T_m

Semicrystalline



Amorphous



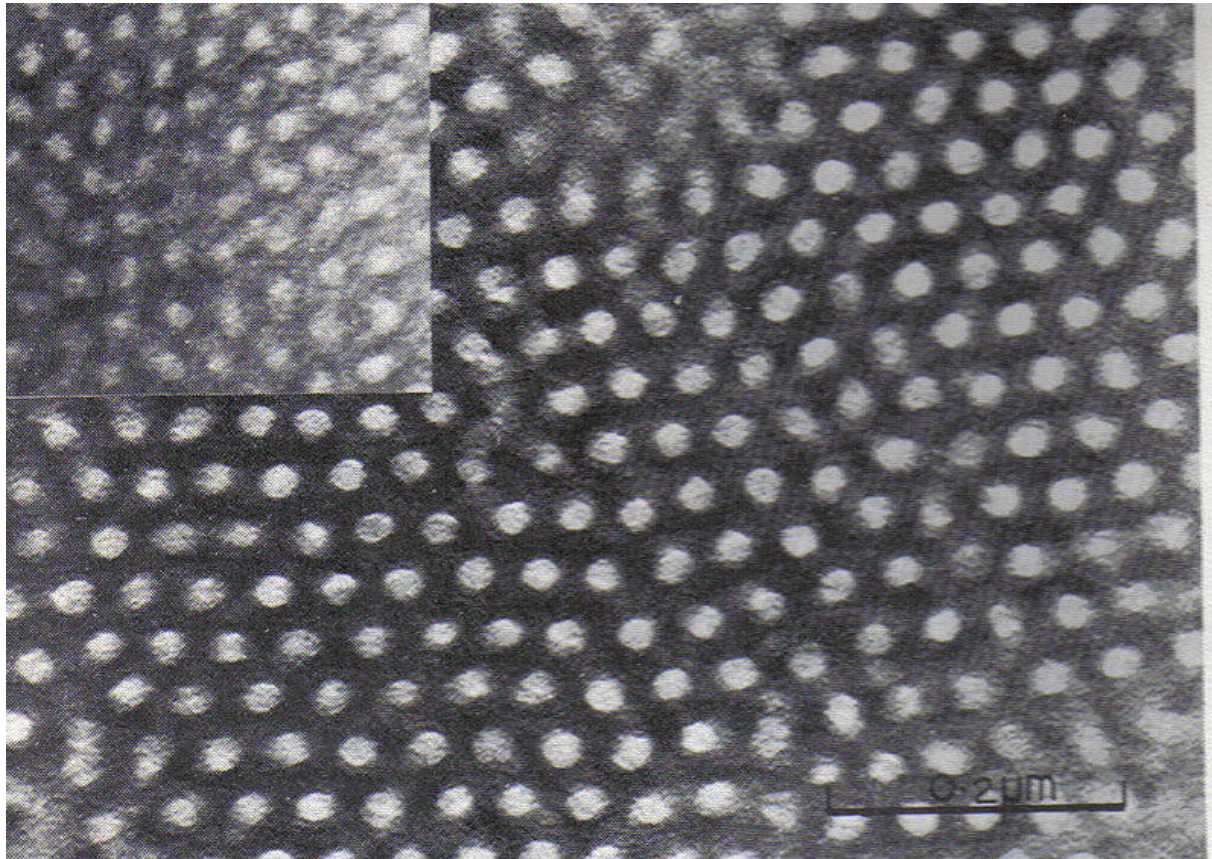
T_g

Spherulitic Morphology of PET



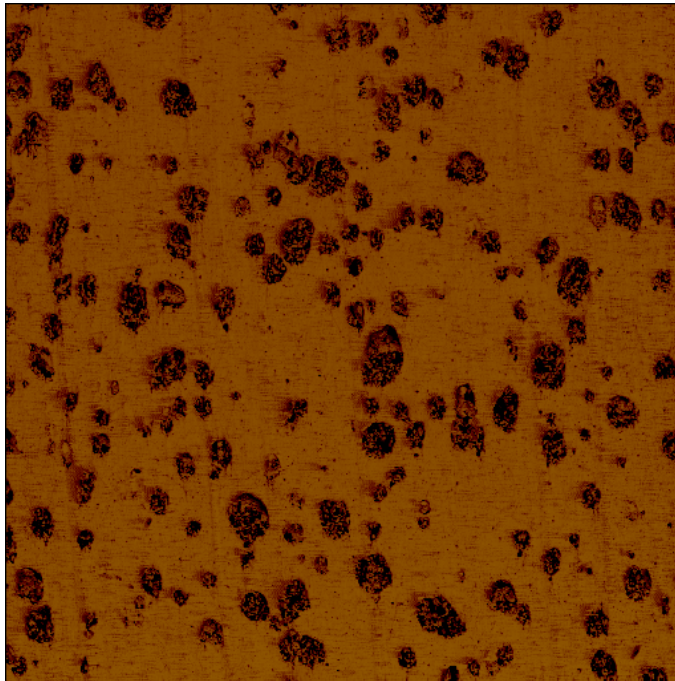
20 μ

Microphase Separated Morphology of Styrene Butadiene Block Copolymer



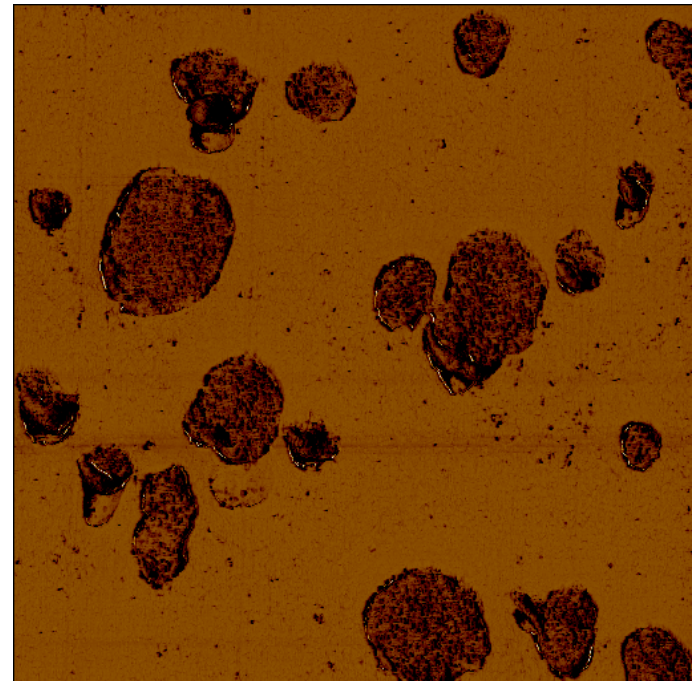
PR Lewis and C Price, *Polymer*, 13, 22 (1972)

Morphology of Impact Modified Nylon



0 25.0 μm

IM1/Compatibilizer



0 25.0 μm

Only IM1

Smaller Impact Modifier Size
Gives Better Impact in this System



Polymer Parameters

- Chemical Composition
- Molecular Weight & Distribution
- Stereochemistry
- Topology
- Morphology
- Additives



21CFR177.2600

- i. Elastomers—EPDM, Silicone, NR
- ii. Vulcanization Materials
 - i. Vulcanizing Agents—Sulfur
 - ii. Accelerators/Retarders—TMTM, DiCUP
 - iii. Activators—Stearic Acid
- iii. Antioxidants—BHT, TNPP (21CFR178.2010)
- iv. Plasticizers—Dioctyl phthalate
- v. Fillers—ATH, TiO₂, SiO₂, carbon black
- vi. Colorants
- vii. Lubricants
- viii. Emulsifiers
- ix. Miscellaneous—blowing agents



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Example Formulations

Thermosets:

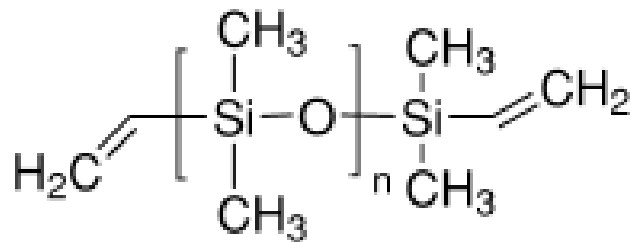
- Platinum silicone
- EPDM

Thermoplastics:

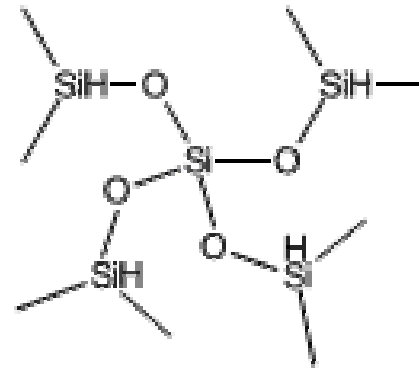
- Styrenic block copolymer (TPE)
- Polypropylene
- PET

Platinum Silicone Formulation

- | | | |
|------------------------|--------|-------------------|
| ○ Vinyl Siloxane | 70 % | ○ Base Polymer |
| ○ Fumed Silica | 25 % | ○ Reinforcement |
| ○ Hydride Siloxane | 5 % | ○ Crosslinking |
| ○ Ethynyl Cyclohexanol | 0.1 % | ○ Inhibitor |
| ○ Platinum Catalyst | 15 ppm | ○ Hydrosilylation |



Vinyl Siloxane



Hydride Siloxane



EPDM Formulation

○ EPDM	63 %	○ Base Polymer
○ Carbon Black	31 %	○ Reinforcement
○ Oil	(15 %)	○ Extender
○ Stearic Acid	0.6 %	○ Co-activator
○ Zinc Oxide	3 %	○ Activator
○ Sulfur	0.9 %	○ Curative
○ TMTM	0.9 %	○ Accelerator
○ Mercapto BZ	0.3 %	○ Co-accelerator
○ Antioxidant	0.5 %	○ Heat Stabilizer

Could have 20 different ingredients

Thermoplastic Elastomer (TPE) Styrenic Block Copolymer

○ S-EB-S	58 %	○ Base Polymer
○ Polystyrene	22 %	○ Reinforcement
○ Polypropylene	Optional	○ Toughness
○ Mineral Oil	16 %	○ Softness
○ BHT	0.3 %	○ Stabilizer
○ Alphamethyl styrene	3.4 %	○ Processing

Broad range of properties available depending upon composition

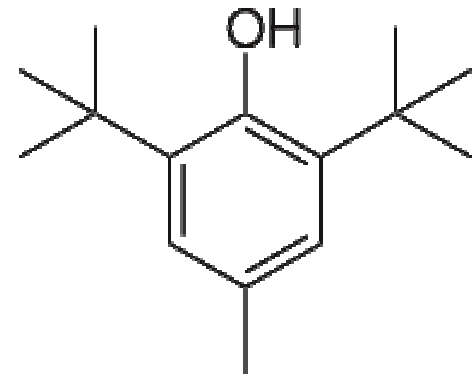
Composition called out in FCN from Kraton

Polypropylene Formulation

- Polypropylene 99 %
- Phosphite 500 ppm
- BHT 1000 ppm
- Nucleant 0.5 %
- Base Polymer
- Processing stabilizer
- Co-stabilizer
- Crystallization

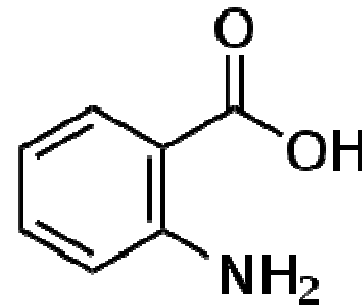
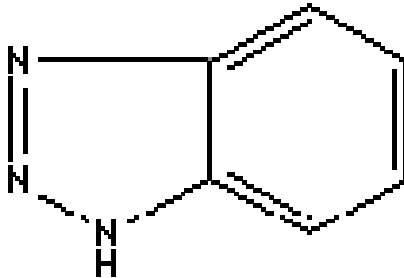
Thermoplastic formulations have fewer ingredients.

Materials sold as produced from resin manufacturer.



PET Formulation

- | | | |
|--|----------|--------------------|
| ○ Polyethylene Terephthalate | 99 % | ○ Base Polymer |
| ○ Phosphite | 100 ppm | ○ Stabilizer |
| ○ Anthranilic Acid derivative | 200 ppm | ○ Scavenger |
| ○ (Amorphous Nylon & cobalt catalyst) in masterbatches | (3 %) | ○ Oxygen scavenger |
| ○ Anthraquinone dye | 50 ppm | ○ Colorant |
| ○ Benzotriazole | 1000 ppm | ○ UV Absorber |



Very low levels of additives today
Depends upon application (ie. bottles)



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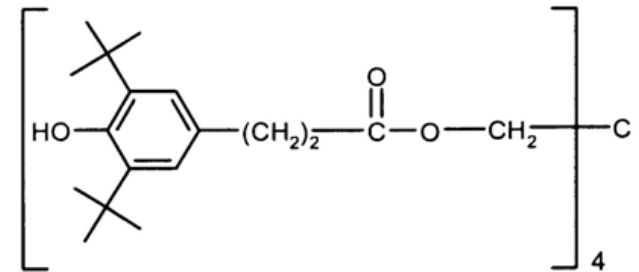
Types of Additives

- Stabilizers/Antioxidants (21CFR178.2010)
- Modifiers (plasticizers)
- Colorants
 - Organic (phthalocyanine, anthraquinone)
 - Inorganic (TiO₂, carbon black)
- Mold Releases & Slip Agents
 - Euracamides, waxes, silicones
- Flow Aids
 - Low MW olefins
 - Glycerol monostearate
 - Vulcanized vegetable oil
- Conductive materials
- Others

Why Use Stabilizers/Antioxidants?

○ Protect Polymer During:

- Drying
- Processing—Extruding; molding
- In Use Exposure
- Long Term Exposure



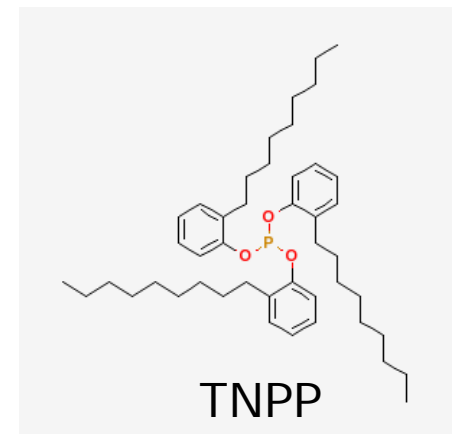
BNX 1010

○ Protect Against:

- Thermo-oxidative Degradation
- Long Term Heat/UV Radiation
- Harmful Effects of Gamma Radiation Sterilization
- Gas Fading – reactions with NO_x

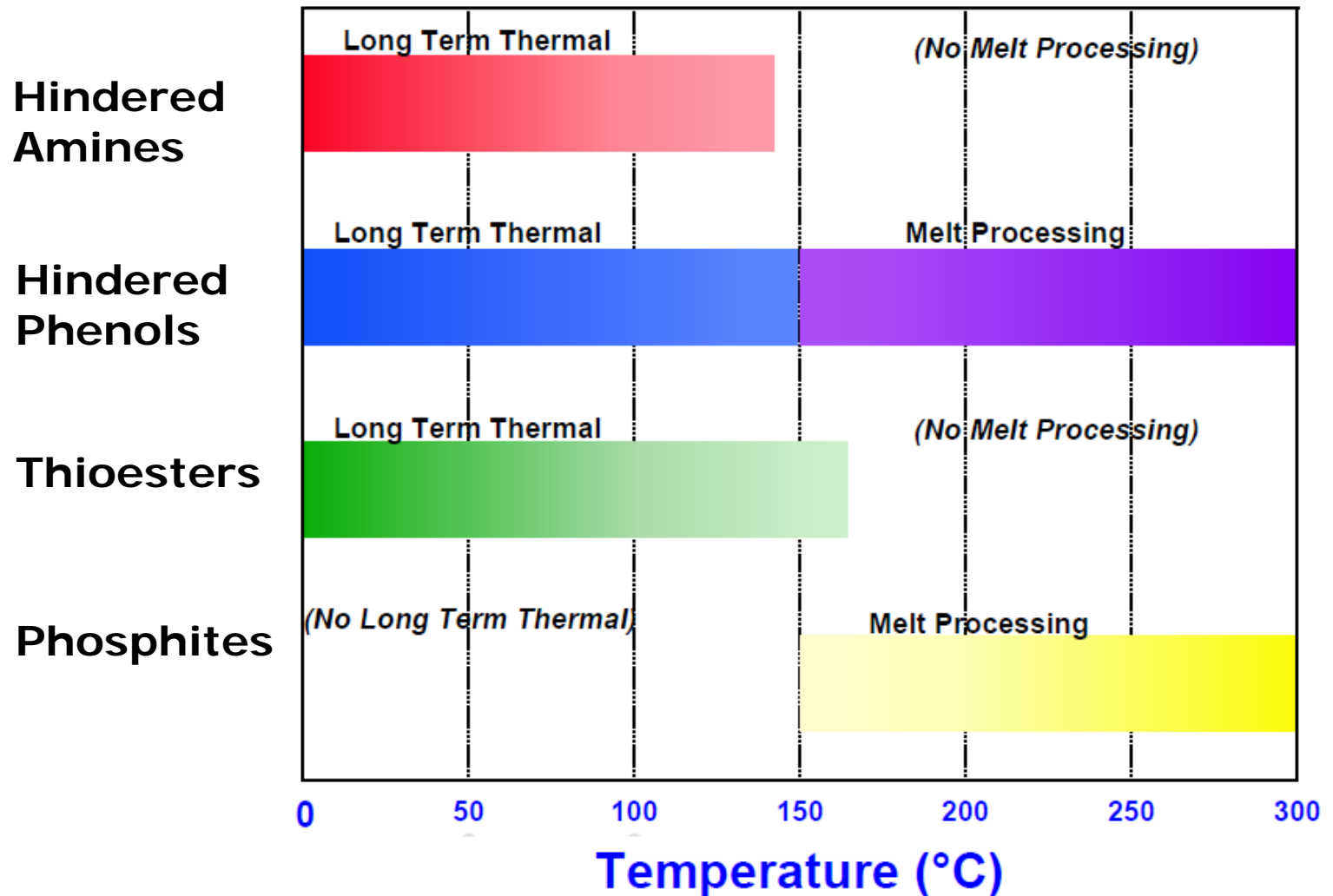
○ Common Stabilizers

- Hindered phenols
- Phosphites—TNPP
- Thio-esters
- Aluminum Trihydrate (ATH) $\text{Al}_2\text{O}_3 \cdot 3\text{H}_2\text{O}$



TNPP

Temperature Range for Stabilizers





Modifiers

(Typically Used at Levels >1 wt.% to ~40 wt. %)

- Plasticizers
 - Dioctyl phthalate
 - Mineral oil
- Impact Modifiers
 - rubbery material
 - core shell
 - functionalized EPDM
 - HIPS—polybutadiene

Testing to Identify Extractables & Leachables

- Test Conditions: Some Standard...Many Custom
 - Usually 24 hrs at fixed temperature using:
 - Distilled Water
 - 5% Acetic Acid
 - 95% Ethanol or hexane to simulate Fatty Foods
 - Sometimes a "Synthetic Olive Oil" is Used
- Analytical Methods
 - Gravimetric
 - Organic analysis: Chromatography—GC & LC
 - Elemental analysis: ICP & Ion Chromatography



Concerns about Leachables

- Residual Monomers
 - Styrene (Suspect Carcinogen)
 - Bis-phenol A (Suspect Endocrine Disrupter)
 - VCM (Vinyl Chloride Monomer) Carcinogen
- Modifiers
 - Plasticizers
 - Phthalates (Suspect Endocrine Disrupters)
 - Mercaptothiazole (Suspect Carcinogen)
- Stabilizers
 - TNPP tris(nonyl phenyl) phosphite (Endocrine Disrupter)



Nanotechnology

- Extractability of Inorganic Particulates
- Carbon Nanotubes
 - Fibrous Irritant like asbestos?
- Do Nanomaterials penetrate cell walls?
- Can they be inhaled, or consumed internally from packaging
- End of Life
- Where do they go upon combustion, land burial, disposal at sea?



New Approaches

- Naturally Occuring Stabilizers
 - Vitamin E
 - Glycerol Monostearates
- Bound Stabilizers
- Inorganic Stabilizers
 - Non-migrating, e.g. nano Zn Oxide
- Nanoplatelets
 - Synthetics: α -zirconium phosphate



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Optimizing Formulations

○ Structure

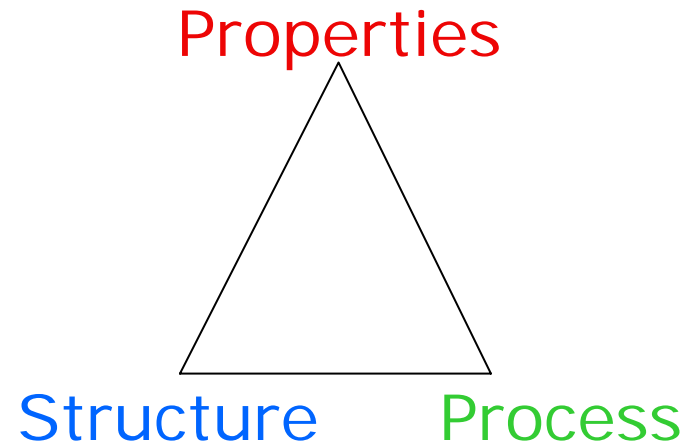
- Chemical Composition, MW, stereochemistry
- Fillers
- Crosslinkers
- Additives

○ Process

- Shear/Dispersion
- Coupling Agents
- Temperature/time

○ Properties

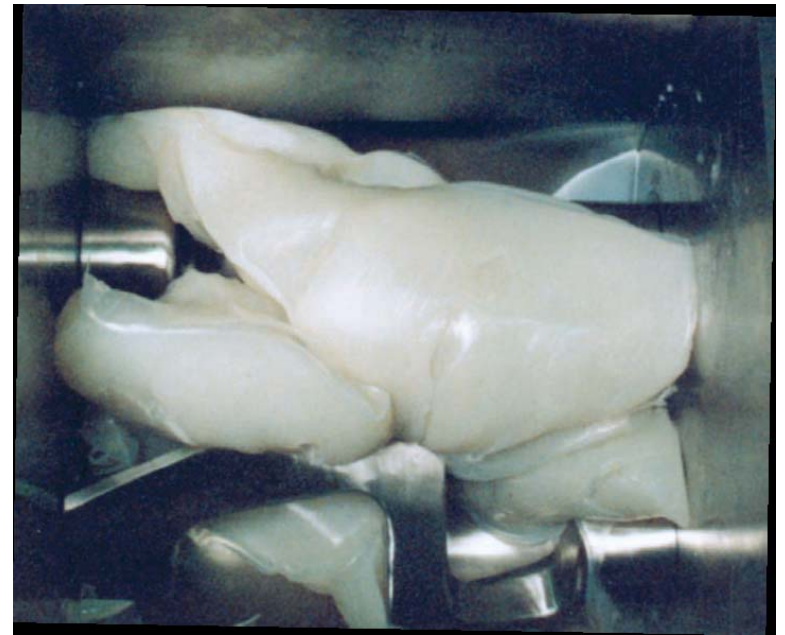
- Modulus, strength, fatigue life, compatibility



Extrusion and Compounding

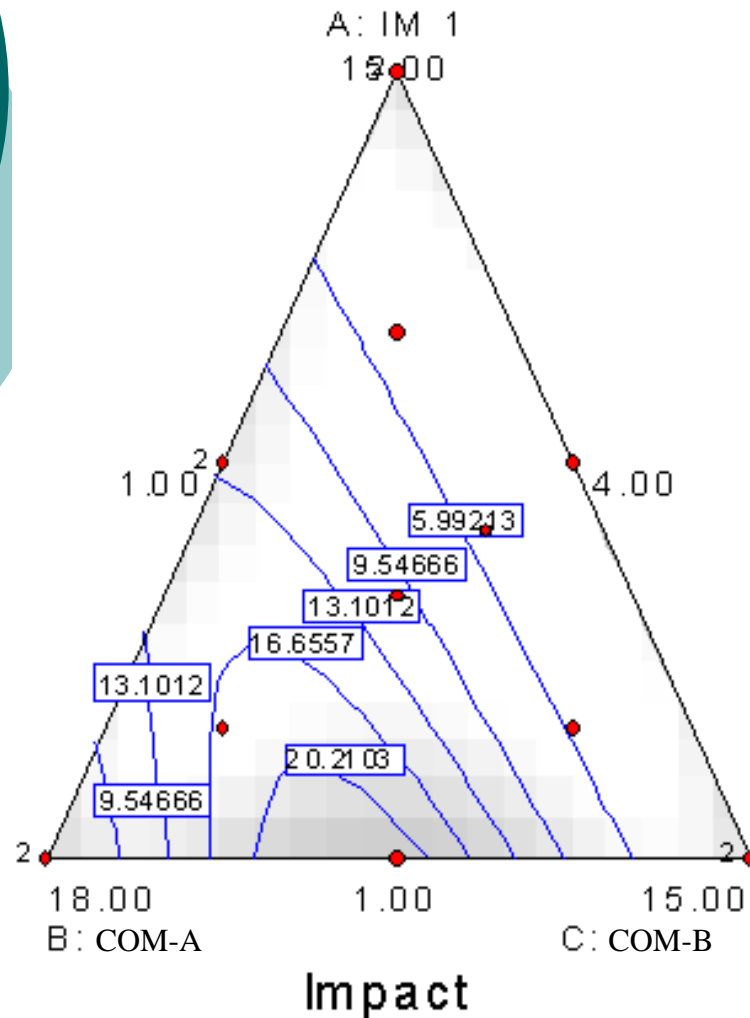


16 mm Twin Screw Extruder

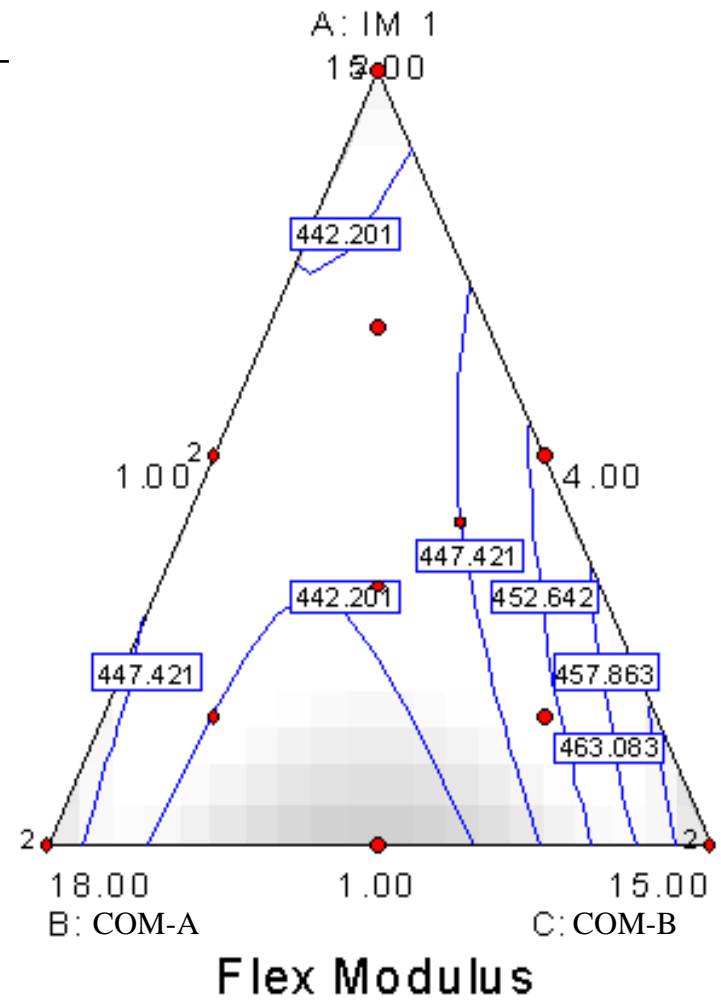


Sigma Blade Dough Mixer

Example of a Optimized Mixture Design

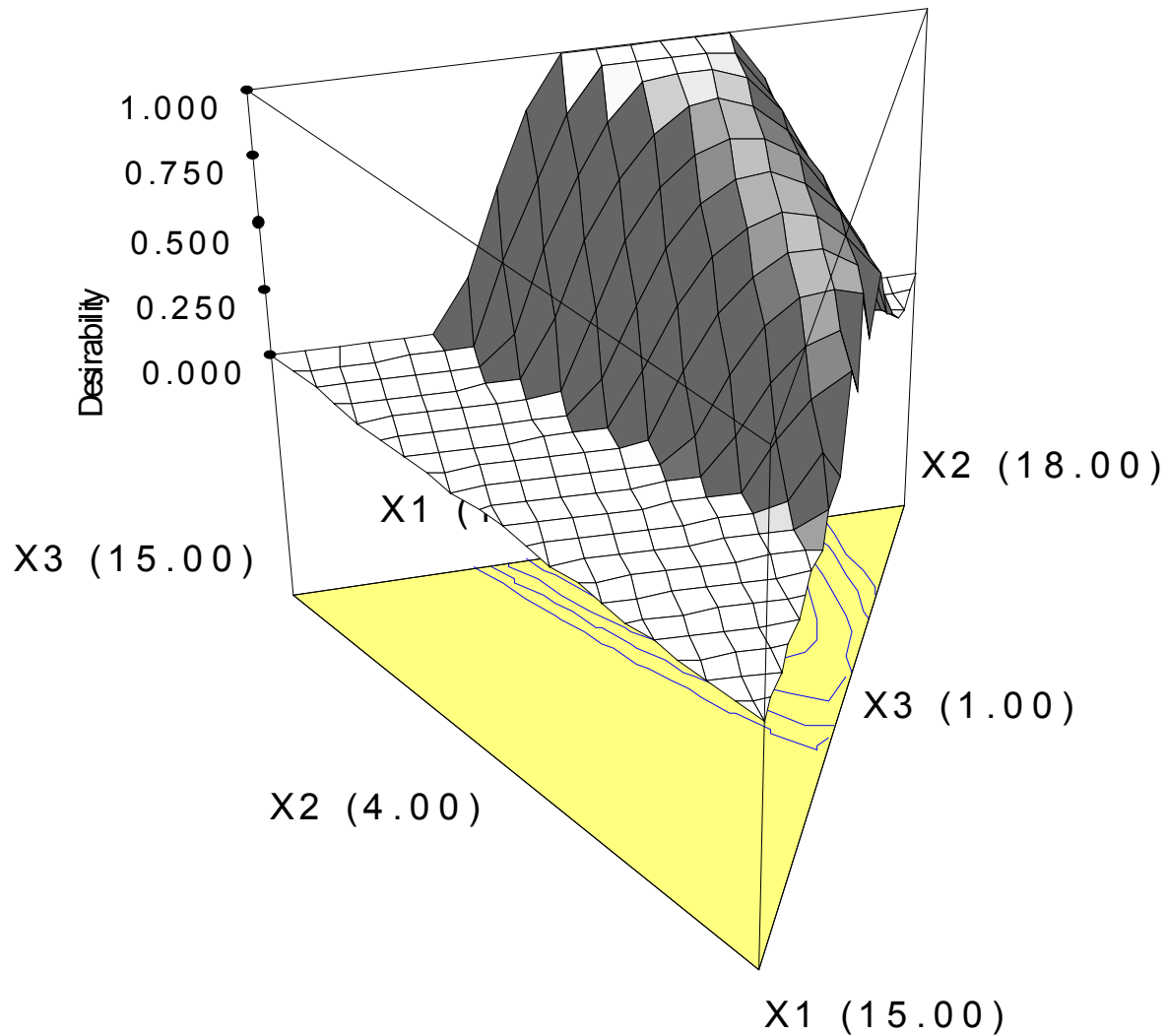


$$\text{Impact} = a \cdot \text{ComA} + b \cdot \text{ComB} + c \cdot \text{IM1}$$



$$\text{F.M.} = a' \cdot \text{ComA} + b' \cdot \text{ComB} + c' \cdot \text{IM1}$$

Typical Response Surface Plot





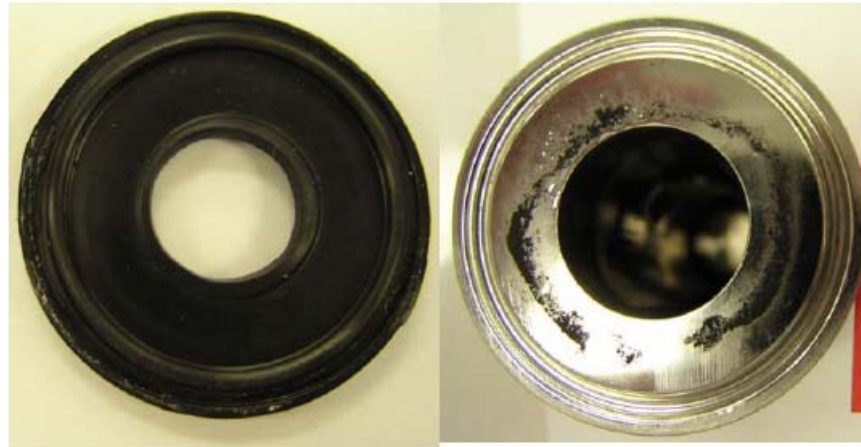
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Hygienic Envelope Gaskets



Hygienic EPDM Gaskets



BPE Standard Test Conditions

- Install Gaskets

- — Pre-SIP Pressure Hold Test
 - • SIP at 125° C and cool
 - Post-SIP Pressure Hold Test
 - Repeat 10-15X (nightly)
- CIP
- Repeat to a total of 100 steam cycles

- Record gasket properties

Dynamic Application: Effects of Fatigue on EPDM Diaphragm

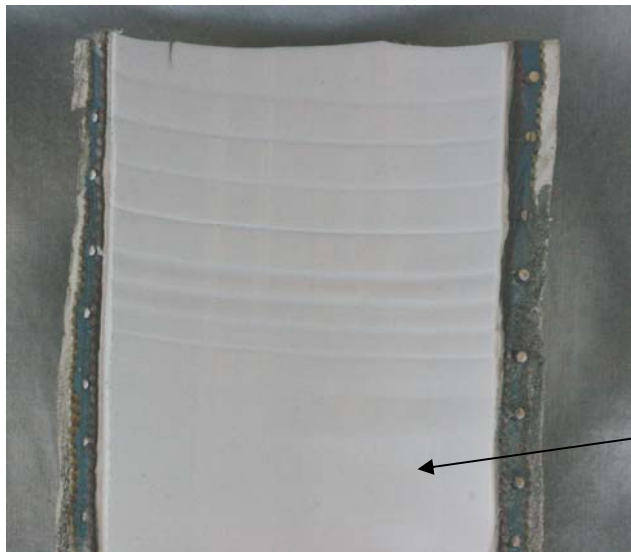


New



Failed

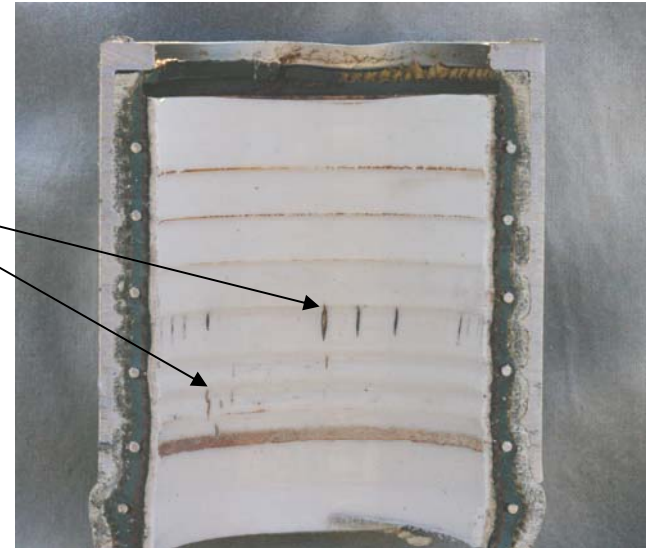
Effects of Thermal & Chemical Cycling on Fluoropolymer Lined Hose



New Hose

Severe Cracks

Smooth,
Straight,
New Liner



Failed Hose



Conclusions

- Start with the polymer parameters
- Additives are often necessary—watch for leachables
- Optimize by understanding structure-property-process interrelationships
- Understand the application requirements



Acknowledgements

- Tim Rugh – 3-A Standard
- Roger Avakian – PolyOne Corp.
- Tom Ward – VA Tech
- Bob Elbich – Exigo Manufacturing